

Independent Substantive Review Committee (ISRC)

When Laboratory managers identify an issue with potential conflict of interest implications, their concern is referred to the attention of the Deputy Director for Operations (DDO). The DDO will appoint a substantive review committee composed of people with knowledge and experience appropriate to the issue(s) being considered and with independence from the matters under review. The committee is advisory to the DDO. Committee membership may include the Laboratory Counsel, the Conflict of Interest (COI) Coordinator and may draw upon special expertise from other offices. The committee's findings and recommendations in each case will be formally recorded; as will the final case management actions taken by the DDO.

Case Oversight Committees

Some complex cases require continuing oversight and periodic review to assure that circumstances do not change sufficiently to negate the mitigating actions and COI risk reducing measures established by the DDO through the ISRC process above. In each such case, a case-specific monitoring committee will be appointed. A core group all such monitoring committees will assure consistency of oversight for all cases. The core group is composed of: the Laboratory Counsel and one senior staff person from both Deputy Director offices with the COI Coordinator acting as staff. All case committees will have additional members as appropriate and will report to both Deputy Laboratory Directors jointly. Case Committee discussions, actions and findings will be formally recorded.